

01-24-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 80398P341

(maximum 12 characters)

First Named Inventor Hawley K. Rising IIITitle: A METHOD OF RECONSTRUCTING AUDIO/VIDEO/IMAGE DATA FROM HIGHER MOMENT DATAExpress Mail Label No. EL431886135US

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant Claims Small Entity Status. (37 CFR 1.27)
3.  Specification (Total Pages 35)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawings(s) (35 USC 113) (Total Sheets 14)
5.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting  
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
    - c.  Unsigned.
6.  Application Data Sheet. (37 CFR 1.76)
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & documents(s))

10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
 b. Power of Attorney
11.  English Translation Document (if applicable)
12.  a. Information Disclosure Statement (IDS)/PTO-1449  
 b. Copies of IDS Citations
13.  **Preliminary Amendment**
14.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17.  Other: Copy of Postcard with Express Mail Label

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation       Divisional       Continuation-in-part (CIP)  
 Of Prior Application No.: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
 which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (list entire chain of priority)

**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

**19. Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
 or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
 Correspondence Address Below

NAME Archana B. Vittal  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard  
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Archana B. Vittal Registration No.: 45,182  
 Signature: Archana Vittal Date: 1/22/2001

**FEE TRANSMITTAL FOR FY 2001**TOTAL AMOUNT OF PAYMENT (\$) 790.00

PTO  
1C760 U.S. PATENT AND TRADEMARK OFFICE  
09/16/2001  
01/22/01



## Complete if Known:

Application No. UnassignedFiling Date HerewithFirst Named Inventor Hawley K. Rising IIIGroup Art Unit UnassignedExaminer Name UnassignedAttorney Docket No. 80398P341**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Credit Card  
 Money Order  
 Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	<u>710.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____
SUBTOTAL (1) \$			<u>710.00</u>

**2. EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	<u>10</u>	<u>- 20** = 0</u>	X <u>18</u>	<u>0</u>
Independent Claims	<u>4</u>	<u>- 3** = 1</u>	X <u>80</u>	<u>80.00</u>
Multiple Dependent			=	=

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
103	18	203 9
102	80	202 40
104	270	204 135
109	80	209 40
110	18	210 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$		<u>80.00</u>

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>			
Fee	Fee	Fee	Fee	<u>Fee Description</u>	<u>Fee Paid</u>
Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (3) \$ 0

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Archana B. Vittal

Signature: Archana B. Vittal Date: 1/22/2001

Reg. Number: 45,182 Telephone Number: (408) 720-8300

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

"Express Mail" mailing label number:EL431886135US

Date of Deposit: January 22, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Teresa Edwards

(Typed or printed name of person mailing paper or fee)

Teresa Edwards

(Signature of person mailing paper or fee)

January 22, 2001

(Date signed)

Serial/Patent No.: <u>Unassigned</u>	Filing/Issue Date: _____	Herewith
Client: <u>Sony Electronics</u>	* * *	
Title: <u>A METHOD OF RESTRUCTURING AUDIO/VIDEO/IMAGE DATA FROM HIGHER MOMENT DATA</u>	* * *	
BSTZ File No.: <u>80398P341</u>	Atty/Secty Initials: <u>MES/ABV/cz</u>	
Date Mailed: <u>1-22-01</u>	Docket Due Date: <u>* * *</u>	
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:		
<input type="checkbox"/> Amendment/Response (____ pgs.)		
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)		
<input checked="" type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)		
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)		
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)		
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)		
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)		
<input type="checkbox"/> Application - Design (____ pgs.)		
<input type="checkbox"/> Application - PCT (____ pgs.)		
<input type="checkbox"/> Application - Provisional (____ pgs.)		
<input type="checkbox"/> Assignment and Cover Sheet		
<input type="checkbox"/> Certificate of Mailing		
<input checked="" type="checkbox"/> Declaration & POA (5 pgs.)		
<input type="checkbox"/> Disclosure Docs & Org & Cpy of Invrnts Signed Letter (____ pgs.)		
<input checked="" type="checkbox"/> Drawings: <u>14</u> # of sheets includes <u>17</u> figures		
<input checked="" type="checkbox"/> Express Mail No : <u>EL431886135US</u> <input type="checkbox"/> Check No. <u>10425</u>		
<input type="checkbox"/> _____ Month(s) Extension of Time <input type="checkbox"/> Amt. <u>798.00</u>		
<input type="checkbox"/> Information Disclosure Statement & PTO-149 (____ pgs) <input type="checkbox"/> Check No. _____		
<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Amt: _____		
<input type="checkbox"/> Notice of Appeal		
<input type="checkbox"/> Petition for Extension of Time		
<input type="checkbox"/> Petition for _____		
<input checked="" type="checkbox"/> Postcard		
<input type="checkbox"/> Power of Attorney (____ pgs.)		
<input type="checkbox"/> Preliminary Amendment (____ pgs.)		
<input type="checkbox"/> Reply Brief (____ pgs.)		
<input type="checkbox"/> Response to Notice of Missing Parts		
<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business		
<input checked="" type="checkbox"/> Transmittal Letter, in duplicate		
<input checked="" type="checkbox"/> Fee Transmittal, in duplicate		
<input checked="" type="checkbox"/> Other: <u>Copy of Postcard with Express Mail Label</u>		

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